

FORM 3A
Unit Linked Insurance Business
Name of the Insurer : SHRRAM LIFE INSURANCE CO LTD
Registration Number : 128
Statement as on : 30-09-2017
Investee Company Exposure Norms
Periodicity of Submission : Quarterly

Link to Item 'C' of FORM 3A

PART - B

Rs. Crore

Particulars	ACCELERATOR FUND [ULIF00401/03/07ACCELR ATOR128] / SLIAF3165	BALANCER [ULIF01707/01/10B ALANCRFND128] / SLIBA3163	CONSERVATOR GOLD [ULIF00719/05/08C ONSERGOLD128] / SLICG3166	CONSERVATOR PLATINAM [ULIF00919/05/08C ONSPLATNM128] / SLICP3162	CONSERVATOR [ULIF00101/07/06C ONSRVATOR128] / SLICO3161	DEFENDER [ULIF01607/01/10DEFEN DRFND128] / SLIDF3160	DISCONTINUED POLICY FUND [ULIP01801/1/11DISCON TFND128] / SLIDP3167	DYNAMIC ASSET ALLOCATION FUND [ULIF02201/04/16DYNMICA LLN128] / SLDAF3406	GROUP ELEVATOR FUND [ULGFO0422/03/10 GRPELVATOR128] / SLIGE3158	GROUP PROTECTOR FUND [ULGFO0222/03/10 GRPPROTECT128]	GUARD FUND [ULIF02301/04/16G UARRDFUND128] / SLGUF3407	GUARDIAN PLUS [ULIF01109/06/09G UARDNPLUS128] / SLIGP3153	GUARDIAN SHIELD [ULIF01009/06/09GUAR DNSHLD128] / SLIGS3155	GUARDIAN [ULIF00201/07/06GUAR DANFND128] / SLIGU3154	MAXIMUS GOLD [ULIF00819/05/08M AXMUSGOLD128] / SLIMG3152	MAXIMUS PLUS [ULIF01206/07/09MAXMUS PLUS128] / SLIMP3151	MAXIMUS [ULIF00301/07/06 MAXIMUSFND12 8] / SLIMA3150
Opening Balance (Market Value)	69.99	60.22	0.71	0.36	0.88	26.48	28.84	0.00	4.86	28.39	0.02	0.59	0.50	3.23	5.23	2.80	390.49
Add: Inflow during the Quarter	3.10	1.35	0.00	0.01	0.00	0.21	1.58	0.00	0.00	3.60	0.00	0.01	0.02	0.03	0.09	0.06	8.68
Increase / (Decrease) Value of Inv(Net)	2.64	1.63	0.01	0.00	0.01	0.64	0.53	0.00	0.14	0.55	0.00	0.01	0.01	0.09	0.13	0.10	12.71
Less: Outflow during the Quarter	-7.16	-5.72	-0.01	-0.05	-0.08	-2.46	-3.18	0.00	0.00	-0.04	0.00	0.00	-0.03	-0.14	-0.31	-0.18	-34.71
Total Investible Funds (MKT Value)	68.56	57.48	0.71	0.31	0.81	24.87	27.78	0.01	4.99	32.50	0.03	0.61	0.50	3.21	5.14	2.78	377.17

INVESTMENT OF UNIT FUND	ACCELERATOR FUND [ULIF00401/03/07ACCELRATOR128] / SLIAF3165		BALANCER [ULIF01707/01/10BALANCRFND12 8] / SLIBA3163		CONSERVATOR GOLD [ULIF00719/05/08CONSERGOLD128] / SLICG3166		CONSERVATOR PLATINAM [ULIF00919/05/08CONSPLATNM128] / SLICP3162		CONSERVATOR [ULIF00101/07/06CONSRVATOR128] / SLICO3161		DEFENDER [ULIF01607/01/10DEFENDRFND12 8] / SLIDF3160		DISCONTINUED POLICY FUND [ULIP01801/1/11DISCONTFND128] / SLIDP3167		DYNAMIC ASSET ALLOCATION FUND [ULIF02201/04/16DYNMICALLN128] / SLDAF3406		GROUP ELEVATOR FUND [ULGFO0422/03/10GRPELVATOR1 28] / SLIGE3158		GROUP PROTECTOR FUND [ULGFO0222/03/10GRPPROTE CT128] / SLIGP3156	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (~=75%)																				
Central Govt Securities	.00	.00	14.11	.25	.18	.25	.22	.69	.39	.48	7.47	.30	17.92	.65	.00	.09	.29	.06	.80	.02
State Government Securities	.00	.00	.00	.00	.29	.40	.00	.00	.15	.18	.00	.00	.44	.02	.00	.00	.00	.00	6.56	.20
Other Approved Securities	.00	.00	.14	.00	.00	.00	.00	.00	.13	.15	.26	.01	.11	.00	.00	.00	.00	.00	.11	.00
Corporate Bonds	.00	.00	8.84	.15	.02	.03	.00	.00	.06	.07	4.69	.19	.00	.00	.00	.00	.91	.18	14.83	.45
Infrastructure Bonds	.00	.00	2.69	.05	.00	.00	.00	.00	.00	.00	2.42	.10	.00	.00	.00	.00	.81	.16	3.46	.11
Equity	64.84	.95	25.73	.45	.00	.00	.09	.27	.00	.00	7.24	.29	.00	.00	.00	.79	1.63	.33	.14	.00
Money Market Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	7.99	.29	.00	.00	.00	.00	.00	.00
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.04	.42	.08	.00	.00
Deposit With Banks	.00	.00	3.04	.05	.19	.28	.00	.00	.06	.08	1.79	.07	.00	.00	.00	.00	.69	.14	4.14	.13
Sub Total (A)	64.84	.95	54.54	.95	.68	.94	.30	.97	.79	.97	23.87	.96	26.46	.95	.01	.92	4.75	.95	30.02	.92
Current Assets:																				
Accrued Interest	.00	.00	1.82	.03	.04	.06	.01	.02	.02	.03	1.30	.05	.36	.01	.00	.00	.20	.04	1.49	.05
Dividend Receivable	.10	.00	.04	.00	.00	.00	.00	.00	.00	.00	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00
Bank Balance	.03	.00	.00	.00	.00	.00	.00	.00	.00	.00	.23	.01	.85	.03	.00	.01	.00	.00	1.00	.03
Receivable For Sale Of Investments	.15	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Other Current Assets (For Investments)	.18	.00	.00	.00	.00	.01	.00	.00	.00	.00	.00	.00	.11	.00	.00	.00	.00	.00	.00	.00
Less: Current Liabilities																				
Payable For Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Fund Mgmt Charges Payable	.11	.00	.15	.00	.00	.00	.00	.00	.00	.00	.07	.00	.00	.00	.00	.00	.00	.00	.00	.00
Other Current Liabilities (For Investments)	.00	.00	.71	.01	.00	.00	.00	.00	.00	.00	.84	.03	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (B)	.35	.01	.99	.02	.04	.06	.01	.02	.02	.03	.60	.03	1.32	.05	.00	.02	.20	.04	2.48	.08
Other Investments (<=25%)																				
Corporate Bonds	.00	.00	.40	.01	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Infrastructure Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Equity	3.37	.05	1.55	.03	.00	.00	.00	.01	.00	.00	.40	.02	.00	.00	.00	.06	.05	.01	.00	.00
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Others	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (C)	3.37	.05	1.95	.03	.00	.00	.00	.01	.00	.00	.40	.02	.00	.00	.00	.06	.05	.01	.00	.00
Total (A + B + C)	68.56	1.00	57.48	1.00	.71	1.00	.31	1.00	.81	1.00	24.87	1.00	27.78	1.00	.01	1.00	4.99	1.00	32.50	1.00
Fund Carried Forward (as per LB 2)	68.56	1.00	57.48	1.00	.71	1.00	.31	1.00	.81	1.00	24.87	1.00	27.78	1.00	.01	1.00	4.99	1.00	32.50	1.00

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Unit Linked Insurance Business
Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD
Registration Number : 128
Statement as on : 30-09-2017
Investee Company Exposure Norms
Periodicity of Submission : Quarterly

PART - B

Link to Item 'C' of FORM 3A

Rs. Crore

Particulars	MULTI CAP AGGRESSIVE FUND [ULIF02401/04/16MULTICAPA]	PENSION BALANCER [ULIF02001/04/16PNBALANCER128] / SLPBF3409	PENSION MAXIMISER FUND [ULIF02101/04/16PNMAXISER128] / SLPMF3411	PENSION MULTI CAP AGGRESSIVE FUND [ULIF02501/04/16PNMULTICAP128] / SLPMA3412	PENSION PROTECTOR [ULIF01901/04/16PNPROTECTOR128] / SLPFF3413	PRESERVER [ULIF01507/01/16PRSERVRFND128] / SLIPV3143	PROTECTOR [ULIF00520/12/07P ROTECTFND128] / SLIPR3142	SECURE PLUS [ULIF01301/09/09 SECUREPLUS128] / SLISP3141	TYASEER [ULIF01401/09/09TYASEERFND128] / SLITY3138	WEALTH CREATOR [ULIF00620/12/07WEALTHCRTR128] / SLIWC3137	Total of All Funds
Opening Balance (Market Value)	0.02	0.01	0.14	0.27	0.02	66.06	1.47	0.12	22.97	19.81	734.48
Add: Inflow during the Quarter	0.00	0.00	0.08	0.02	0.00	2.49	0.00	0.00	1.39	0.00	22.71
Increase / (Decrease) Value of Inv(Net)	0.00	0.00	0.01	0.00	0.00	0.73	0.02	0.00	1.06	0.69	21.72
Less: Outflow during the Quarter	0.00	0.00	0.00	0.00	0.00	-12.08	-0.14	-0.01	-1.34	-1.22	-68.88
Total Investible Funds (MKT Value)	0.01	0.01	0.22	0.29	0.02	57.19	1.35	0.11	24.08	19.29	710.04

INVESTMENT OF UNIT FUND	GUARD FUND [ULIF02301/04/16GUARRDFUND128] / SLGUF3407		GUARDIAN PLUS [ULIF01109/06/09GUARDNPLUS128] / SLIGP3153		GUARDIAN SHIELD [ULIF01009/06/09GUARDNSHLD128] / SLIGS3155		GUARDIAN [ULIF00201/07/06GUARDNFND128] / SLIGU3154		MAXIMUS GOLD [ULIF00819/05/08MAXMUSGOLD128] / SLIMG3152		MAXIMUS PLUS [ULIF01206/07/09MAXMUSPLU5128] / SLIMP3151		MAXIMUS [ULIF00301/07/06MAXIMUSFND128] / SLIMA3150		MULTI CAP AGGRESSIVE FUND [ULIF02401/04/16MULTICAPAG128] / SLMAF3408		PENSION BALANCER [ULIF02001/04/16PNBALANCER128] / SLPBF3409		PENSION MAXIMISER FUND [ULIF02101/04/16PNMAXISER128] / SLPMF3411		PENSION MULTI CAP AGGRESSIVE FUND [ULIF02501/04/16PNMULTICAP128] / SLPMA3412	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)																						
Central Govt Securities	.02	.85	.28	.47	.30	.60	1.69	.53	.00	.00	.08	.03	39.74	.11	.00	.00	.00	.47	.08	.37	.00	.00
State Government Securities	.00	.00	.01	.01	.03	.07	.00	.00	.00	.00	.00	.00	4.11	.01	.00	.00	.00	.00	.00	.00	.00	.00
Other Approved Securities	.00	.00	.00	.00	.00	.00	.00	.08	.02	.00	.00	.00	.42	.00	.00	.00	.00	.00	.00	.00	.00	.00
Corporate Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.15	.06	51.68	.14	.00	.00	.00	.00	.00	.00	.00	.00
Infrastructure Bonds	.00	.00	.00	.00	.00	.00	.20	.06	.00	.00	.11	.04	24.39	.06	.00	.00	.00	.00	.00	.00	.00	.00
Equity	.00	.00	.27	.44	.08	.16	1.11	.34	4.85	.94	2.12	.76	226.01	.60	.01	.90	.00	.40	.11	.51	.27	.92
Money Market Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.05	.00	.05	.01	.06	.00	.00
Deposit With Banks	.00	.00	.02	.03	.07	.14	.08	.02	.00	.00	.10	.04	21.37	.06	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (A)	.02	.85	.58	.95	.48	.96	3.15	.98	4.85	.94	2.57	.93	367.71	.97	.01	.96	.01	.93	.20	.93	.27	.92
Current Assets:																						
Accrued Interest	.00	.01	.02	.03	.02	.03	.04	.01	.00	.00	.09	.03	3.50	.01	.00	.00	.00	.01	.00	.01	.00	.00
Dividend Receivable	.00	.00	.00	.00	.00	.00	.00	.00	.01	.00	.00	.00	.33	.00	.00	.00	.00	.00	.00	.00	.00	.00
Bank Balance	.00	.14	.00	.00	.00	.00	.02	.01	.01	.00	.03	.01	.00	.00	.04	.00	.05	.00	.01	.01	.03	.01
Receivable For Sale Of Investments	.00	.00	.00	.00	.00	.00	.00	.00	.05	.01	.00	.00	.00	.00	.00	.01	.00	.00	.00	.00	.00	.00
Other Current Assets (For Investments)	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.02	.00	.00	.00	.00	.02	.04	.01	.04	.04
Less: Current Liabilities																						
Payable For Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Fund Mgmt Charges Payable	.00	.00	.00	.00	.00	.01	.01	.00	.01	.00	.00	.00	.99	.00	.00	.00	.00	.00	.00	.00	.00	.00
Other Current Liabilities (For Investments)	.00	.00	.00	.00	.00	.00	.02	.01	.00	.00	.01	.00	3.40	.01	.00	.00	.00	.01	.00	.00	.00	.00
Sub Total (B)	.00	.15	.01	.02	.02	.03	.03	.01	.06	.01	.11	.04	-.55	.00	.00	.04	.00	.04	.02	.07	.02	.08
Other Investments (<=25%)																						
Corporate Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.10	.00	.00	.00	.00	.00	.00	.00	.00	.00
Infrastructure Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Equity	.00	.00	.01	.02	.00	.01	.03	.01	.23	.04	.10	.04	9.90	.03	.00	.00	.03	.00	.00	.00	.00	.00
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Others	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (C)	.00	.00	.01	.02	.00	.01	.03	.01	.23	.04	.10	.04	10.01	.03	.00	.00	.03	.00	.00	.00	.00	.00
Total (A + B + C)	.03	1.00	.61	1.00	.50	1.00	3.21	1.00	5.14	1.00	2.78	1.00	377.17	1.00	.01	1.00	.01	1.00	.22	1.00	.29	1.00
Fund Carried Forward (as per LB 2)	.03	1.00	.61	1.00	.50	1.00	3.21	1.00	5.14	1.00	2.78	1.00	377.17	1.00	.01	1.00	.01	1.00	.22	1.00	.29	1.00

FORM 3A
Unit Linked Insurance Business
Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD
Registration Number : 128
Statement as on : 30-09-2017
Investee Company Exposure Norms
Periodicity of Submission : Quarterly

PART - B

Link to Item 'C' of FORM 3A

Rs. Crore

Particulars
Opening Balance (Market Value)
Add: Inflow during the Quarter
Increase / (Decrease) Value of Inv[Net]
Less: Outflow during the Quarter
Total Investible Funds (MKT Value)

INVESTMENT OF UNIT FUND	PENSION PROTECTOR [ULIF019010416]PNPROTECTR12 8] / SLPPF3413		PRESERVER [ULIF015070110]PRESERVRFND12 8] / SLIPV3143		PROTECTOR [ULIF005201207]PROTECTFND12 8] / SLIPR3142		SECURE PLUS [ULIF013010900]SECUREPLUS12 8] / SLISP3141		TYASEER [ULIF014010900]TYASEERFND12 8] / SLITY3138		WEALTH CREATOR [ULIF006201207]WEALTHCRTR1 28] / SLIWC3137		Total of All Funds	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (≈75%)														
Central Govt Securities	.02	.92	24.94	.44	.31	.23	.11	1.00	.00	.00	.00	.00	108.96	.15
State Government Securities	.00	.00	1.18	.02	.15	.11	.00	.00	.00	.00	.00	.00	12.93	.02
Other Approved Securities	.00	.00	1.91	.03	.00	.00	.00	.00	.00	.00	.00	.00	3.15	.00
Corporate Bonds	.00	.00	8.68	.15	.19	.14	.00	.00	.00	.00	.00	.00	90.05	.13
Infrastructure Bonds	.00	.00	6.92	.12	.22	.16	.00	.00	.00	.00	.00	.00	41.20	.06
Equity	.00	.00	.00	.00	.00	.00	.00	.00	23.89	.99	18.26	.95	376.65	.53
Money Market Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	7.99	.01
Mutual Funds	.00	.07	.00	.00	.00	.00	.01	.06	.00	.00	.00	.00	.44	.00
Deposit With Banks	.00	.00	10.42	.18	.40	.29	.00	.00	.00	.00	.00	.00	42.35	.06
Sub Total (A)	.02	.99	54.06	.94	1.27	.94	.12	1.06	23.89	.99	18.26	.95	683.72	.96
Current Assets:														0
Accrued Interest	.00	.01	4.32	.08	.09	.07	.00	.00	.00	.00	.00	.00	13.30	.02
Dividend Receivable	.00	.00	.00	.00	.00	.00	.00	.00	.03	.00	.03	.00	.56	.00
Bank Balance	.00	.01	.00	.00	.00	.00	.00	.00	.10	.00	.12	.01	2.41	.00
Receivable For Sale Of Investments	.00	.00	.00	.00	.00	.00	.00	.00	.08	.00	.08	.00	.36	.00
Other Current Assets (For Investments)	.00	.00	-.05	.00	.00	.00	.00	.00	.02	.00	.00	.00	.29	.00
Less: Current Liabilities														0
Payable For Investments	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Fund Mgmt Charges Payable	.00	.00	.15	.00	.01	.01	.00	.00	.04	.00	.04	.00	1.62	.00
Other Current Liabilities (For Investments)	.00	.01	1.49	.03	.00	.00	.01	.06	.00	.00	.02	.00	6.50	.01
Sub Total (B)	.00	.01	2.63	.05	.09	.06	-.01	-.06	.19	.01	.18	.01	8.80	.01
Other Investments (≈25%)														0
Corporate Bonds	.00	.00	.50	.01	.00	.00	.00	.00	.00	.00	.00	.00	1.00	.00
Infrastructure Bonds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Equity	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.85	.04	16.52	.02
Mutual Funds	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Others	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Sub Total (C)	.00	.00	.50	.01	.00	.00	.00	.00	.00	.00	.85	.04	17.52	.02
Total (A + B + C)	.02	1.00	57.19	1.00	1.35	1.00	.11	1.00	24.08	1.00	19.29	1.00	710.04	1.00
Fund Carried Forward (as per LB 2)	.02	1.00	57.19	1.00	1.35	1.00	.11	1.00	24.08	1.00	19.29	1.00	710.04	1.00

Date : 20-10-2017

Signature : _____
Full Name : MR.G.VAIDYANATHAN
Authorised Signatory

Note :
1. The aggregate of all the above Segregated Unit-Funds should reconcile with item C of FORM 3A (Part A), for both Par & Non Par Business
2. Details of Item 12 of FORM LB 2 which forms part of IRDA (Actuarial Report) Regulation, 2000 shall be reconciled with FORM 3A (Part B).
3. Other Investments' are as permitted under Sec 27A(2)
FORM 3A PART B FOOTNOTE